

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: (11) International Publication Number: A1 A61F 2/36, A61L 27/04 (43) International Publication Date:

13 July 2000 (13.07.00)

WO 00/40178

(21) International Application Number: PCT/BE00/00001

(22) International Filing Date: 5 January 2000 (05.01.00)

(30) Priority Data: 9900010

7 January 1999 (07.01.99)

BE

(71)(72) Applicant and Inventor: VERMEIRE, Dirk, Victor [BE/BE]; Pierrelaan 19, B-2960 Brecht (BE).

(74) Agent: HOORWEG, Petrus, Nicolaas; Arnold & Siedsma, Louiza-Marialei 8, B-2018 Antwerpen (BE).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

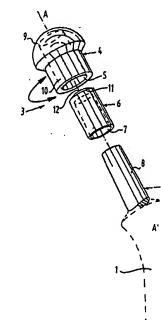
Published

With international search report. In English translation (filed in Dutch).

(54) Title: COUPLING MEANS FOR FEMUR PROSTHESIS

(57) Abstract

The invention relates to a coupling means (3) for a femur prosthesis (1) comprising an adaptor element (6) and a ball element (4), which elements (6, 4) are each provided with a blind central bore (7, 5), wherein the bore (7) of the adaptor element (6) is suitable in shape and dimension to enable placing over a fixed stem end (2) of a femur prosthesis (1) and wherein the bore (5) of the ball element (4) is suitable in shape and dimension to enable placing over the adaptor element (6), and wherein, when arranged over each other, the ball element (4) is mounted for free rotation relative to the fixed stem end (2), wherein the ball element (4) consists of a spherical ball head (9) which transposes into a substantially cylindrical ball collar (10), which ball element (4) is provided with a blind central bore (5) which extends through the whole ball collar (10) into a considerable part of the ball head (9).



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	Prance	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ.	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Paso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	ΠL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PΥ	Portugal		,
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

10

25

COUPLING MEANS FOR FEMUR PROSTHESIS

The invention relates to a coupling means for a femur prosthesis comprising an adaptor element and a ball element, which elements are each provided with a blind central bore, wherein the bore of the adaptor element is 5 suitable in shape and dimension to enable placing over a fixed stem end of a femur prosthesis and wherein the bore of the ball element is suitable in shape and dimension to in turn enable placing over the adaptor element as according to the preamble of claim 1.

Such coupling means are generally known. An example of such a coupling is disclosed in EP-A-0 147 339.

Known coupling means with adaptor elements have the feature that the ball element is arranged fixedly on the adaptor element and the adaptor element is in turn 15 coupled fixedly to the stem end of the femur prosthesis. Both elements are generally provided for this purpose with a truncated cone-shaped bore.

Another example of such a known coupling means is described in the patent US-A-5 080 697. In the 20 embodiments of couplings shown herein there also occur fixed connections between the adaptor element and the stem end of the femur prosthesis on the one side and between the ball element and the adaptor element on the other.

It has been found however that these fixed connections can be disadvantageous, this particularly from tests, the results of which were recently published by van der Vis et al. in Acta Orthop Scand 1998 under the title "Socket wear in ceramic-on-polyethylene total hip 30 arthroplasties". Shown herein is a rotatably mounted coupling which also operates telescopically. The rotating coupling shown herein is however not spared considerable friction due to the large common surfaces rotating on one another and because of the material used, whereby wear 35 soon occurs. The rotating coupling shown herein is however not provided with any adaptor element.

The object of the present invention is to provide a coupling means which on the one hand has the advantages of an adaptor element which can be adjusted for instance in axial length and which on the other hand has the advantages of a rotating coupling and which is moreover mounted for rotation which is virtually frictionless.

Another objective is to provide a coupling means with very little wear for the time period of the average age of a person. This ensures that such a coupling has to be arranged only once in the body and replacement need never have to take place.

Another objective is to provide a coupling means which is easy to manufacture and to use, which can be obtained with known techniques of drilling and milling and which allows integral manufacture of both the adaptor element and the ball element.

In order to at least partially obviate the above stated drawbacks and to achieve at least some of the above stated objectives the invention provides a coupling means as according to claim 1.

By arranging a ball element which is mounted for free rotation relative to the fixed stem end, which ball element is arranged over the adaptor element, the advantages of the use of an adaptor element as well as of the rotation can be realized.

In a preferred embodiment a coupling means according to the invention comprises a ball element, the central blind bore of which is at least partially cylindrical, and a corresponding part of the sleeve of the adaptor element has a similar cylindrical form. This has the effect that when both elements are arranged one over the other the ball element is mounted rotatably relative to the adaptor element.

In a further embodiment the coupling means is

sembodied such that when the ball element is mounted over
the adaptor element, these two elements are in continuous
mutual contact only locally at one point.

This has the effect that wear can only occur at this point. This point will generally be formed by a spherical upper surface of the adaptor element.

Other preferred embodiments of a coupling means

5 according to the invention with such a local point
contact will be elucidated hereinbelow with reference to
the drawing.

The invention likewise relates to an assembly comprising a ball element and a number of adaptor

10 elements, wherein at least two adaptor elements have a different height. By providing such a choice of different heights of adaptor elements the stem end of a femur prosthesis does not have to be shortened, while an appropriate length size can be obtained by choosing an adaptor element of a suitable height. The combined height of the stem end of the femur prosthesis and the adaptor element arranged thereover must generally correspond with the natural height of the collum femoris of the os femoris. Just as in nature the height of the collum femoris can differ in each person, different heights of adaptors are provided.

The invention will be elucidated hereinbelow with reference to the drawings, in which:

Fig. 1 shows a schematic view of a femur prosthesis
with a perspective exploded view of a preferred
embodiment of a coupling means according to the
invention;

Fig. 2 shows a cross-sectional view of a preferred embodiment of a coupling means according to the invention;

Fig. 3, 4 and 5 show cross-sectional views of other preferred embodiments of a coupling means according to the invention; and

Fig. 6 shows a cross-sectional view of an assembly according to the invention.

A femur prosthesis 1 is bounded at the top by a stem end 2 which in the natural femur is the collum femoris. In order to realize a good seating of a femur prosthesis

in a socket or natural acetabulum (not shown) of the hipbone, a coupling means 3 is provided on this stem end 2. The coupling means according to the invention consists of a ball element 4 provided with a blind central bore 5 and 5 with an adaptor element 6, which adaptor element is likewise provided with a blind central bore 7. A stem end cap 8 can optionally be provided on stem end 2 of the femur prosthesis.

The ball element consists in its top part of a 10 spherical ball head 9 which transposes into a substantially cylindrical ball collar 10. The blind bore 5 of ball element 4 is preferably cylindrical, whereby the sleeve of the adaptor element must have a corresponding cylindrical form so as to form a rotation 15 bearing. Ball element 4 can hereby rotate freely on the central axis AA' when it is mounted over the adaptor element. Ball collar 10 will ensure an additional fitting seating of ball element 4 over the adaptor element, whereby the freedom of the ball element is reduced.

Adaptor element 6 is preferably provided with a truncated cone-shaped central bore 7. The stem end cap 8 is likewise truncated cone-shaped or cylindrical, whereby a non-rotating coupling is obtained between stem end cap 8 and adaptor element 6 when this latter has been placed 25 on stem end 2 or stem end cap 8.

20

The bore 5 of ball element 4 extends through the whole ball collar 10 into a considerable part of ball head 9.

In order to minimize friction the adaptor element 6 30 is rounded on its top side 11, preferably arched or as in fig. 1 spherical, with a central summit 12.

When the blind bore 5 of ball element 4 is bounded by a flat surface 13 and the upper part 11 of adaptor element 6 is provided with a central summit 12, the 35 adaptor element 6 will make only local contact at the central summit 12 with the surface 13 of the ball element when they are arranged one over the other. This is advantageous from a wear viewpoint, since wear can only

occur at this central summit 12. A suitable alloy wherein wear is further minimized is a metal alloy, in particular a cobalt chrome molybdenum alloy. Other metal alloys are likewise suitable, although a cobalt chrome molybdenum 5 alloy has a good resistance to wear and is suitable for use in rotating bearings.

A preferred embodiment of such a suitable alloy comprises:

Chrome: 27 to 30%

10 Molybdenum: 5 to 7%

Nickel: 1% maximum

Iron: 0.75%

Carbon: 0.08% maximum Manganese: 1% maximum

15 Silicon: 1% maximum

Cobalt: balance

30

Nitrogen: 2,000 ppm maximum.

Figures 3-6 show alternative embodiments of the coupling means according to the invention, wherein the 20 ball element will likewise be in continuous contact with the adaptor element only locally at one point.

Fig. 6 shows an assembly of a plurality of adaptor elements 6 and one ball element 4. In order to achieve only local contact, it is likewise possible to provide 25 the end face of the blind bore 5 with a summit 14 and to give the end face 11 of adaptor element 6 a flat form.

The embodiment of fig. 6 shows an assembly according to the invention and illustrates clearly the different height of the adaptor elements with the same bore 7.

The clearance between the diameter of bore 5 of ball element 6 and the outer diameter of the adaptor element is generally very small. This is particularly smaller than 0.02 mm and more preferably smaller than 0.01 mm. In preferred embodiments the difference between these two 35 diameters is only 0.001 mm. This provides an ideal rotation bearing.

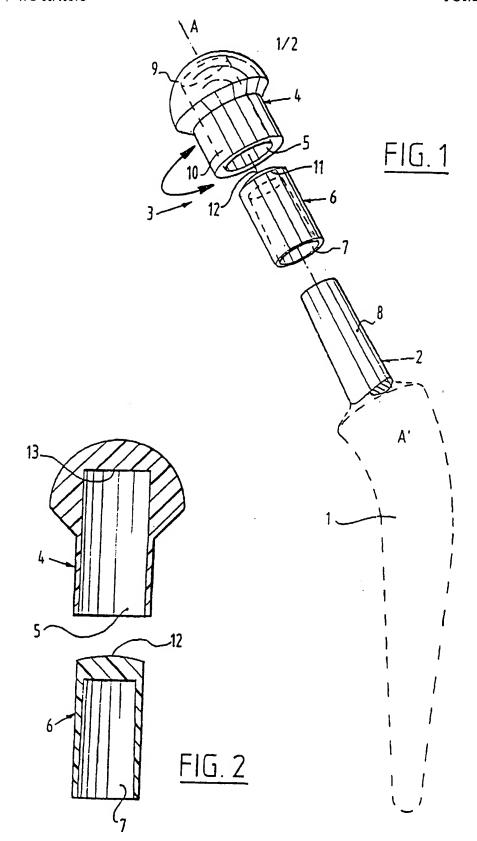
The coupling means according to the invention has been tested in a motion experiment, wherein the coupling means was adjusted to a moving member with a movement similar to a natural step progression. This test showed that after 40,000 km no wear of any significance between the elements had occurred. This is clear confirmation that the coupling means according to the invention offers advantageous properties compared to the already known couplings.

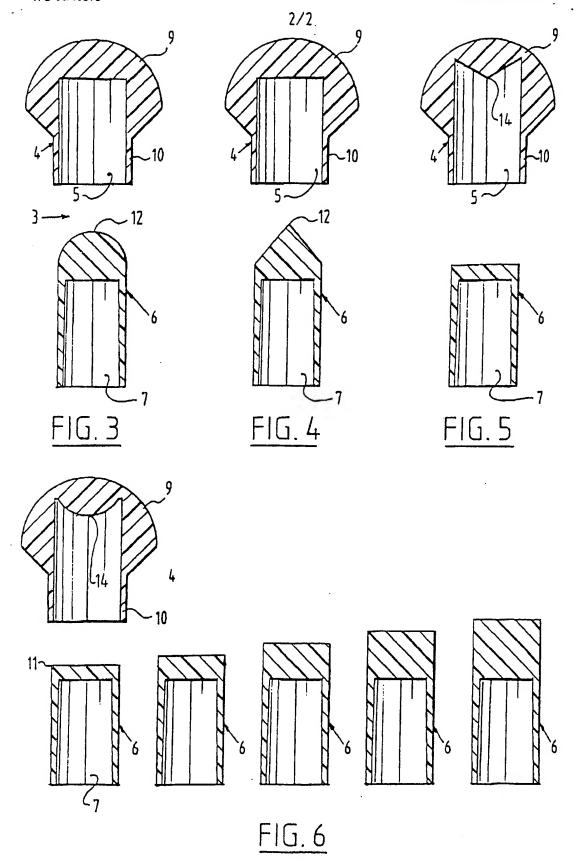
CLAIMS

- 1. Coupling means for a femur prosthesis comprising an adaptor element and a ball element, which elements are each provided with a blind central bore, wherein the bore of the adaptor element is suitable in shape and dimension to enable placing over a fixed stem end of a femur prosthesis and wherein the bore of the ball element is suitable in shape and dimension to enable placing over the adaptor element, and wherein, when arranged one over the other, the ball element is mounted for free rotation relative to the fixed stem end, characterized in that the ball element consists of a spherical ball head which transposes into a substantially cylindrical ball collar, which ball element is provided with a blind central bore which extends through the whole ball collar into a considerable part of the ball head.
- Coupling means as claimed in claim 1, characterized in that the blind bore of the ball element is at least partially cylindrical, and a corresponding part of the sleeve of the adaptor element has a similar
 cylindrical form such that when the ball element is arranged over the adaptor element a free-rotating bearing is obtained.
- Coupling means as claimed in claim 1 or 2, characterized in that the blind bore of the ball element
 is cylindrical.
 - 4. Coupling means as claimed in any of the claims 1-3, characterized in that when arranged one over the other the adaptor element and the ball element are in continuous mutual contact only locally at one point.
- 5. Coupling means as claimed in any of the foregoing claims, characterized in that the depth of the bore of the ball element is smaller than or equal to the height of the adaptor element.

- 6. Coupling means as claimed in any of the foregoing claims, characterized in that the elements of the coupling are manufactured from a Co/Cr/Mo alloy.
- 7. Coupling means as claimed in any of the foregoing claims, further comprising a truncated cone-shaped stem end cap preferably manufactured from the same Co/Cr/Mo alloy.
- 8. Adaptor element evidently suitable for a coupling means as claimed in any of the foregoing claims 1-7,

 10 characterized in that the adaptor element is substantially cylindrical and provided with a central truncated cone-shaped blind bore and provided on the top side with a shaped surface.
- Adaptor element as claimed in claim 8,
 characterized in that the shaped surface is arched and preferably spherical with one central summit.
- 10. Coupling assembly comprising at least one ball element as specified in claims 1-7 and a number of adaptor elements as claimed in claim 8 or 9, wherein at least two adaptor elements have a different axial length.
 - 11. Femur prosthesis provided with a stem end on which a stem cap is provided, which stem cap is truncated cone-shaped and over which a coupling means as claimed in any of the claims 1-7 is arranged.
- 25 12. Femur prosthesis as claimed in claim 11, which prosthesis is manufactured substantially from titanium.





INTERNATIONAL SEARCH REPORT

Inte: onal Application No
PCT/BE 00/00001

•		P	CI/RF 00/00001
A. CLASS IPC 7	IFICATION OF SUBJECT MATTER A61F2/36 A61L27/04		
	o International Patent Classification (IPC) or to both national class	sification and IPC	
	SEARCHED ocumentation searched (classification system followed by classif	iostica aymbola)	
IPC 7	A61F		
Documenta	tion searched other than minimum documentation to the extent t	hat such documents are included	I in the fields searched
Electronic o	data base consulted during the International search (name of dat	a base and, where practical, se	arch terms used)
	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the	e relevant passages	Relevant to claim No.
Y	EP 0 147 339 A (BRUNET) 3 July 1985 (1985-07-03) cited in the application page 5, line 32 -page 6, line 6,7	l; figures	1-3,5
Υ	DE 30 33 227 A (BERENDES) 1 April 1982 (1982-04-01) the whole document		1-3,5
Α	FR 2 584 603 A (BRUNET) 16 January 1987 (1987-01-16) the whole document	1,2,11	
Α	FR 2 041 767 A (ÉTABLISSEMENTS 5 February 1971 (1971-02-05) the whole document	TORNIER)	1-3
		-/	
X Fur	ther documents are listed in the continuation of box C.	X Patent family me	mbers are listed in annex.
* Special c	ategories of cited documents :		ed after the international filing date
	ent defining the general state of the art which is not dered to be of particular relevance		ot in conflict with the application but be principle or theory underlying the
"E" earlier filling	document but published on or after the international date	"X" document of particular	relevance; the claimed invention novel or cannot be considered to
which	ent which may throw doubts on priority claim(s) or n is cited to establish the publication date of another on or other special reason (as specified)	involve an inventive s "Y" document of particular cannot be considered	tep when the document is taken alone relevance; the claimed invention to involve an inventive step when the
other "P" docum	nent referring to an oral disclosure, use, exhibition or means means described prior to the international filing date but than the priority date claimed		d with one or more other such docu- tion being obvious to a person skilled
	actual completion of the International search		international search report
2	23 February 2000	28/03/200	
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer	
	NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo ni, Fax: (+31–70) 340–3016	Klein, C	

1

INTERNATIONAL SEARCH REPORT

Inter anal Application No
PCT/BE 00/00001

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
4	US 3 658 056 A (HUGGLER) 25 April 1972 (1972-04-25) column 3, line 11 - line 15 column 4, line 17 - line 21; figures 1,3	1,3,6
	US 5 080 679 A (PRATT) 14 January 1992 (1992-01-14) cited in the application the whole document	2,3,6-8, 11,12
	US 5 156 624 A (BARNES) 20 October 1992 (1992-10-20) the whole document	4,9
	US 5 362 311 A (AMINO) 8 November 1994 (1994-11-08) column 3, line 32 -column 4, line 41; claims 2,5; figures 1A-5	6,7, 10-12
L	FR 2 689 390 A (CÉRAMIQUES TECHNIQUES DESMARQUEST) 8 October 1993 (1993-10-08) abstract; figures 2,3	10
	US 4 032 994 A (FREY) 5 July 1977 (1977-07-05)	
1	US 5 725 597 A (HWANG) 10 March 1998 (1998-03-10)	
	EP 0 385 572 A (OSTEONICS CORP.) 5 September 1990 (1990-09-05)	
	·	

1

INTERNATIONAL SEARCH REPORT

Information on patent family members

Inten onal Application No PCT/BE 00/00001

Patent documer cited in search rep		Publication date	Patent family member(s)	Publication date
EP 147339	Α	03-07-1985	FR 2558053	A 19-07-1985
DE 3033227	A	01-04-1982	NONE	
FR 2584603	Α	16-01-1987	EP 0214065 WO 9007310 US 4842605	A 12-07-1990
FR 2041767	Α	05-02-1971	NONE	
US 3658056	A	25-04-1972	AT 284329 CH 490077 DE 1912630 ES 359759 FR 2006925 GB 1264857 SE 363233	A 15-05-1970 A 30-10-1969 A 01-07-1970 A 02-01-1970 A 23-02-1972
US 5080679	Α	14-01-1992	NONE	
US 5156624	A	20-10-1992	NONE	
US 5362311	Α	08-11-1994	NONE	
FR 2689390	A	08-10-1993	NONE	
US 4032994	A	05-07-1977	CH 593053 AT 340577 AT 430975 BE 842491 CA 1058803 DE 2524923 FR 2313012 GB 1495224 IT 1079504 JP 1106530 JP 51148290 JP 56050582 NL 7605499 SE 418362 SE 7606181	B 27-12-1977 A 15-04-1977 A 02-12-1976 A 04-11-1976 A 31-12-1977 A 14-12-1977 B 13-05-1989 C 30-07-1987 B 30-11-1988 D A, B, 07-12-1976 B 25-05-198
US 5725597	7 A	10-03-1998	NONE	
EP 385572	A	05-09-1990	US 4921500 AU 619954 AU 5019190 CA 2006272 JP 3029651	B 06-02-199 D A 06-09-199 P A 31-08-199

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
✓ FADED TEXT OR DRAWING
DEBLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☑ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.